



`PATENT (5760-16400/VRTS-0502) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Filed: Invento	ation No.: 10/706,742 November 12, 2003 or(s): s F. van Rietschote	& & & & & & & & & & & & & & & & & & &	Examiner: Group/Art Unit: Atty. Dkt. No:	Unknown 2186 5760-16400
	nesh P. Saptarshi g W. Hobbs	9999	the United States Postal S class mail in an envelo	correspondence is being deposited with Service with sufficient postage as first ope addressed to Commissioner for Alexandria, VA 22313-1450, on the
Title:	Provisioning and Snapshotting Using Copy on Read/Write and Transient Virtual Machine Technology	99999999		ence J. Merkel egistered Representative

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

<u>Please incorporate the document listed on the attached Form PTO-1449 into the electronic Information Disclosure statement previously filed.</u>

Appli	cant requests consideration of the references listed on the attached Form PTO-
1449 and/or 🛭	the additional information identified below in paragraph 3.
	A copy of each required reference listed on the Form PTO-1449 is enclosed.
	The references listed on the Form PTO-1449 were previously cited in application serial no to which the captioned application is seeking priority under 35 U.S.C. §120; therefore, a copy of each reference is not enclosed.
\boxtimes	The present application is a U.S. national patent application filed after June 30, 2003, or an international application that entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, copies of the cited U.S. patents and/or U.S.

patent application publications are not enclosed.

1.	This In	formatio	n Disclosure Statement is submitted:
	a. b.		within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application; before the mailing date of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: the certification of paragraph 2 below is provided, or a fee of \$180.00 is enclosed.
	c.		after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2.	It is he	reby cer	tified:
		was ci applica designa	ch item of information contained in this Information Disclosure Statement ted in a communication from a foreign patent office in a counterpart ation and that this communication was not received by any individual ated in § 1.56(c) more than thirty (30) days prior to the filing of the ation disclosure statement;
		was ci	ch item of information contained in this Information Disclosure Statement ted in a communication from a foreign patent office in a counterpart application not more than three (3) months prior to the filing of the ent; or-
		was ci foreign after n	item of information contained in the Information Disclosure Statement ted in a communication from a foreign patent office in a counterpart application or, to the knowledge of the person signing the certification taking reasonable inquiry, was known to any individual designated in § more than three (3) months prior to the filing of the Statement.
3.	\boxtimes	Considor abar	eration of the following additional information (including any co-pending adoned U.S. applications, prior uses and/or sales, etc.) is requested:
		U.S. Pa	atent Application Serial No. 10/791,472 (5760-20000)
4.	For eac	ch non-E	English language reference listed on the attached Form PTO-1449:
		referen	ce is made to an English language translation submitted herewith, and/or
		referen langua	ice is made to a foreign patent office search report (in the English ge) submitted herewith, and/or

		reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
		reference is made to the concise explanation contained in the specification of the present application at page(s), and/or
		reference is made to the concise explanation set forth below:
5.		Applicant also offers the following comments for the Examiner's consideration:
6.		Also enclosed is a copy of a foreign search report citing these references.
7.		The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8.		Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.
	If any	required fees are missing, the Commissioner is authorized to charge said fees to
Meyert 16400/		ood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 501505/5760-
		Respectfully submitted.

Lawrence J. Merkel Reg. No. 41,191 Agent for Applicant(s)

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C. P. O. Box 398 Austin, Texas 78767 (512) 853-8800

Date: August 20, 2004

Form PTO-1449 (modified) Res of Patents and Publications For Applicant's Information

13 2000 slosure Statement (Use diveral sheets if necessary)

ATTY. DKT. NO. 5760-16400

APPLICANT: van Rietschote, et al.

SERIAL NO. 10/706,742

GROUP: 2186 7

FILING DATE: 11/12/03

U.S.	PA	TENT	DOCI	JMENTS
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en A		U	.S. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
HF	B1	6,421,739	7/16/02	Holida			
T	B2	6,694,346	2/17/04	Aman, et al.			
	В3	6,718,538	4/6/04	Mathiske		-	
	B4	6,757,778	6/9/01	van Rietschote			
	B5	2003/0028861	2/6/03	Wallman, et al.			
	В6	2002/0129078	9/12/02	Plaxton, et al.			
	B7	2004/0010787	1/15/04	Traut, et al.			
	B8	2002/0049869	4/25/02	Ohmura, et al.			
Λ	В9	2002/0099753	7/25/02	Hardin, et al.			
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/Hashem Farrokh/

DATE CONSIDERED:

06/01/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner. MATION PISCLOSURE

PATENT 5760-20000 VRTS 0629

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COMMISSIONER FOR PATENTS, MAILSTOP PATENT APPLICATION, P.O. BOX 1450,

ALEXANDRIA, VA 22313-1450 -

Derrick Brown

Central Administration and Maintenance of Workstations Using Virtual Machines, Network Filesystems, and Replication

By:

Hans F. van Rietschote Mahesh P. Saptarshi Craig W. Hobbs Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement

(Use several sheets if necessary)

Y. DKT. NO. 5760-16400

SERIAL NO. 10/706,742

APPLICANT: van Rietschote, et al.

GROUP: 2186

FILING DATE: 11/12/03

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
HF		6,370,614	4/9/02	Teoman, et al.			
1		6,496,847	12/17/02	Bugnion, et al.			
		6,397,242	5/28/02	Devine, et al.			
		6,075,938	6/13/00	Bugnion, et al.			
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-V $-$		6,438,642	8/20/02	Shaath, Kamel			

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DATE CONSIDERED:

06/01/2006

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List of Patents and Publications To The For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)

ÆTTY. DKT. NO. 5760-16400

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APPLICANT: van Rietschote, et al.

GROUP: 2186

FILING DATE: 11/12/03

(U	se severa	I sheets if necessary) FILING DATE: 11/12/03
		OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
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	A2	VERITAS Software Corporation, "VERITAS Enterprise Server Automation," 2003, 8 pages.
	A3	VERITAS Software Corporation, "Server Consolidation with VERITAS OpForce; Optimizing your Infrastructure Availability and Utilizations," 2003, 6 pages.
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	A6	VERITAS Software Corporation, "High Availability Clustering in a Microsoft Windows Environment; VERITAS Cluster Server for Microsoft Windows NT" 2000, 20 pages.
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·	A9	Sapuntzakis, et al., "Optimizing the Migration of Virtual Computers," Proceedings of the Fifth Symposium on Operating Systems Design and Implementation, December 2002, 14 pages.
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	A14	Flinn, et al., "Data Staging on Untrusted Surrogates," IRP-TR-03-03, March 2003, Intel Research, To Appear in the Proceedings of the 2 nd USENIX Conference on File and Storage Technologies, San Francisco, 16 pages.
	A15	Tolia, et al., "Opportunistic Use of Content Addressable Storage for Distributed File Systems," IRP-TR-03-02, June 2003, Intel Research, To Appear in the Proceedings of the 2003 USENIX Annual Technical Conference, San Antonio, TX, 16 pages.
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DATE CONSIDERED:

06/01/2006

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1		O-1449 (modified)	ATTY. DKT. NO. 5760-16400	SERIAL NO. 10/706,742
		nts and Publications cant's Information	APPLICANT: van Rietschote, et al.	GROUP: 2186
	Disclos	sure Statement		
(U	se severa	al sheets if necessary)	FILING DATE: 11/12/03	Eta)
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V	A41	White Paper, "The Egenera	TM Processing Area Network (PAN) Architecture	," Egenera, Inc., 2002, 20 pages.

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DATE CONSIDERED: 06/01/2006

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Fo	rm PT	O-1449 (modified)	ATTY. DKT. NO. 5760-16400	SERIAL NO. 10/706,742		
		nts and Publications				
F		cant's Information sure Statement	APPLICANT: van Rietschote, et al.	GROUP: 2186		
(sure Statement il sheets if necessary)	FILING DATE: 11/12/03			
		OTHER ART (Including Author, Title, Date, Pertinent Pag	ges, Etc.)		
HF	A42	White Paper, "Emerging Se	erver Architectures," Egenera, Inc., 2001, 12 pag	es.		
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products/win4lin40/requirements.php, printed from web on 12/13/2002, 2 page.

Form PTO-1449 (modified)
List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

ATTY. DKT. NO. 5760-16400

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APPLICANT: van Rietschote, et al.

GROUP: 2186

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		OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
HF	A62	"Win4Lin Terminal Server 2.0," Netraverse, Inc, 2002, http://www.netraverse.com/ products/wts, printed from web on 12/13/2002, 1 page.
	A63	"Win4Lin Terminal Server 2.0," Netraverse, Inc, 2002, http://www.netraverse.com/ products/wts/benefits.php, printed from web on 12/13/2002, 1 page.
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	A68	"Virtual PC for Windows," Connectix, Version 5.0, 2002, 2 pages
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IDS - 11/10/2 EVECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

Provisioning and Snapshotting Using Copy on Read/Write and Transient Virtual Machine Technology

Application Number:

10/706742

Confirmation Number:

7542

First Named Applicant:

Hans vanRietschote

Attorney Docket Number:

5760-16400

Art Unit:

2186

Examiner:

Search string:

(6763440 or 6003065 or 6789103).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
HF	1	6763440	2204-07-13	Traversat et al			
HF	2	6003065	1999-12-14	Yan et al			
HF	3	6789103	2004-09-07	Kim et al			

Signature

Examiner Name	Date	
/Hashem Farrokh/	06/01/2006	

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IDS - 04/04/2005



PATENT (5760-16400/VRTS0502)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: 10/706,742
Filed: November 12, 2003
Inventor(s):
Hans F. van Rietschote
Mahesh P. Saptarshi
Craig W. Hobbs

Title: Provisioning and

Snapshotting Using Copy on Read/Write and

Transient Virtual Machine

Technology

Examiner: Unknown
Group/Art Unit: 2186
Atty. Dkt. No: 5760-16400

Atty. Dkt. No: 5/60-16400

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Lawrence J. Merkel
Name of Registered Representative

Signature

Date

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

• •	cant requests consideration of the references listed on the attached Form PTO- the additional information identified below in paragraph 3.
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		that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the Statement; or
		that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three (3) months prior to the filing of the Statement.
3.		Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4.	For eac	ch non-English language reference listed on the attached Form PTO-1449:
		reference is made to an English language translation submitted herewith, and/or
		reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
		reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or

		reference is made to the concise explanation contained in the specification of the present application at page(s), and/or
		reference is made to the concise explanation set forth below:
5 .		Applicant also offers the following comments for the Examiner's consideration:
5 .		Also enclosed is a copy of a foreign search report citing these references.
7.		The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
3.		Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.
	-	required fees are missing, the Commissioner is authorized to charge said fees to
		ood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 501505/5760-
16400	LJM.	
		Respectfully submitted,
		awrence J. Merkel Reg. No. 41,191
		Agent for Applicant(s)

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.

P. O. Box 398 Austin, Texas 78767 (512) 853-8800

Date:

Form P1U-14-7 (Inc.)
List of Patents and Publications
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Form PTO-1449 (modified) PR 0 1 2005 A STY. DKT. NO. 5760-16400

APPLICANT: van Rietschote, et al.

SERIAL NO. 10/706,742

GROUP: 2186

FILING DATE: 11/12/03

U.S. PATENT DOCUMENTS FILING DATE IF NAME CLASS **SUB** DOCUMENT NUMBER DATE REF. EXAM. APPROPRIATE **CLASS** DES. **INITIALS** Traversat et al. 7/2004 6763440 HF CI 12/1999 Yan et al. HF C2 6003065 Kim et al. 9/2004 C3 6789103 HF Goodman et al. 4/2002 6370646 C4 HF

EXAMINER:	/Hashem Farrokh/	DATE CONSIDERED:	06/01/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.